

Customized FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

P07223US00/LRP

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.

09/856358

INTERNATIONAL APPLICATION NO.
PCT/JP99/06502INTERNATIONAL FILING DATE
22 November 1999PRIORITY DATE CLAIMED
24 November 1998

TITLE OF INVENTION: ETCHING SOLUTION, ETCHED ARTICLE AND METHOD FOR ETCHED ARTICLE

APPLICANT(S) FOR DO/EO/US: KEZUKA ET AL.

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
- ☒ 3. This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1).
- ☒ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A **copy** of the International Application as filed (35 U.S.C. 371 (c)(2))
- a. is transmitted herewith (required only if not transmitted by the International Bureau).
- ☒ b. has been transmitted by the International Bureau.
- c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ 6. A **translation** of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3))
- a. are transmitted herewith (required only if not transmitted by the International Bureau).
- b. have been transmitted by the International Bureau.
- c. have not been made; however, the time limit for making such amendments had NOT expired.
- d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☒ 9. An **oath** or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the Int'l Prelim. Exam. Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:**
11. An **Information Disclosure Statement** under 37 C.F.R. 1.97 and 1.98.
- ☒ 12. An **Assignment** document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. A **First preliminary amendment**.
14. A Second or Subsequent preliminary amendment.
15. A substitute specification.
- ☒ 16. A change of power of attorney and/or address letter.
17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 & 35 USC 1.821-825.
18. A second copy of the published international application under 35 USC 154(d)(4).
19. A second copy of the English translation of the international application under 35 USC 154(d)(4).
20. Other items or information:

A copy of the Notification of Missing Requirements under 35 U.S.C. 371.

- ☒ In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).

Date: May 22, 2001

U.S. APPLICATION NO. 097856358		INTERNATIONAL APPLICATION NO. PCT/JP99/06502		ATTORNEY DOCKET NO. P07223US00/LRP		
X 21. The following fees are submitted: X Basic National Fee (37 CFR 1.492 (a) (1)-(5): Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO \$1000 X Search Report has been prepared by the EPO or JPO \$ 860 No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO \$ 710 International preliminary examination fee paid to USPTPO \$ 690 Int'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4) \$ 100 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>					CALCULATIONS PTO USE ONLY	
					\$ 860	
Surcharge of \$130 for furnishing the oath or declaration later than [] 20 mos. from the earliest claimed priority date (37 CFR 1.492(e)). [] 30 mos. +					\$ 0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total Claims	16 - 20 =	0	X \$18 =		\$ 0	
Independent Claims	1 - 03 =	0	X \$80 =		\$ 0	
Multiple Dependent Claim(s) (if applicable)			+ \$270 =		\$ 0	
TOTAL OF ABOVE CALCULATIONS =					\$ 860	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					-	\$
SUBTOTAL =					\$ 860	
Processing fee of \$130 for furnishing the English translation later than [] 20 mos. from the earliest claimed priority date (37 CFR 1.492(f)). [] 30 mos. +					\$ 0	
TOTAL NATIONAL FEE =					\$ 860	
X Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property					+	\$ 40
TOTAL FEES ENCLOSED =					\$ 900	
<i>Amount to be</i>					Refunded	\$
					Charged	\$
X a. A check in the amount of \$900.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees. X c. The Commissioner is hereby authorized to charge any additional fees required or credit overpayment to Deposit Account No. 12-0555.						
<i>Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</i>						
SEND ALL CORRESPONDENCE TO: Linda R. Poteate At the address (below) of CUSTOMER NO. 000881. LARSON & TAYLOR, PLC 1199 NORTH FAIRFAX ST. SUITE 900 ALEXANDRIA, VA 22314				SIGNATURE: <u>Douglas E. Jackson</u> NAME: Douglas E. Jackson REG. NO.: 28,518 PHONE NO.: 703-739-4900 Date: May 22, 2001		

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Saegusa International

Attention:

July 15, 2003

Kitahama TNK Building
7-1, Dosho-machi 1-chome, Chuo-Ku
Osaka-shi, Oasks 541-0045, JAPAN

Matter #: P07223US00 BAS

Client #: 289

Invoice #: 21872

RE: KEZUKA ET AL
Serial No. 09/856,358
Your Ref. 20012B/US

SERVICES

DESCRIPTION	AMOUNT
Consideration of client letter; Preparation of a letter to the Examiner regarding nature of references; File Letter with IDS and reporting of same.	\$145.00
Preparation & filing of an IDS	\$150.00
Services Total	<hr/> \$295.00

DISBURSEMENTS

DESCRIPTION	AMOUNT
Photocopies , references, postage.	\$8.00
Disbursements Total	<hr/> \$8.00

TOTAL SERVICES & DISBURSEMENTS	<hr/> \$303.00
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